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FORM CD-451 **X GRANT** COOPERATIVE AGREEMENT U.S. DEPARTMENT OF COMMERCE (REV 10/98) **ACCOUNTING CODE** **See Attached** AMENDMENT TO AWARD NUMBER FINANCIAL ASSISTANCE AWARD 49-50-M09054 RECIPIENT NAME AMENDMENT NUMBER **Utah Public Service Commission** STREET ADDRESS **EFFECTIVE DATE** SEP 2 8 2010 Heber Wells Building, Fourth Floor 160 East 300 South CITY, STATE ZIP **EXTEND WORK COMPLETION TO** December 31, 2014 Salt Lake City, UT 84111-2305

CFDA NO. AND PROJECT TITLE:

11.558 American Recovery and Reinvestment Act-SBDD-Utah Public Serive Commission

COSTS ARE REVISED AS FOLLOWS:	PREVIOUS ESTIMATED COST	ADD	TOTAL ESTIMATED COST		
FEDERAL SHARE OF COST	\$2,044,442.00	\$3,151,583.00	\$0.00	\$5,196,025.00	
RECIPIENT SHARE OF COST	\$511,111.00	\$787,896.00	\$0.00	\$1,299,007.00	
TOTAL ESTIMATED COST	\$2,555,553.00	\$3,939,479.00	\$0.00	\$6,495,032.00	

REASON(S) FOR AMENDMENT

This Grant is hereby amended to provide additional Federal funding in the amount of \$3,151,583.00 to compelte the project to map broadband availability and engage in broadband planning activities for five years for the state of Utah, pursuant to the American Recovery and Reinvestment Act of 2009 and the Broadband Data Improvement Act. Awards made pursuant to the State Broadband Data and Development Grant Program are available only to the single eligible entity designated by each State. Revise SAC #3 Fedral program Office contact information .

ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.

This Amendment approved by the Grants Officer is issued in triplicate and constitutes an obligation of Federal funding. By signing the three documents, the Recipient agrees to comply with the Amendment provisions checked below and attached, as well as previous provisions incorporated into the Award. Upon acceptance by the Recipient, two signed Amendment documents shall be returned to the Grants Officer and the third document shall be retained by the Recipient. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally terminate this Amendment.

Х	Special	Award	Condi	tions
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X Line Item Budget

Other:

SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER	DATE
Joyce Brigham WIII WIII	SEP 27 2010
TYPED NAME, TYPED TITLE, AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL	DATE Oct, 14,2010
Julie Orchard, Administrator	CC1,14,2010

Award Number: 49-50-M09054, Amendment Number 5

Federal Program Officer: Phillip English

Requisition Number: M09054A

Employer Identification Number: 87-6000545

Dun & Bradstreet No: 933514523

Recipient ID: 4926162 Requestor ID: 4926162

Treasury Account Symbol (TAS): 0554

Award ACCS Information

Granta.		*			
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61	2010	4805011-300	06-10-0000-00-00-00	41-19-00-00	\$3,151,583.00

Award Contact Information

S. ANTROPPIAN CHARACTER	Ernoders Sizer	2011	en en en en en
Ms. Julie Orchard	Administrative	jorchard@utah.gov	801-530-6713
Gary Widerburg	Technical	gwiderburg@utah.gov	801-530-6715

NIST Grants Officer:

Joyce Brigham 100 Bureau Drive, MS 1650 Gaithersburg, MD 20899-1650 (301) 975 - 6329

NIST Grants Specialist:

Jacqueline Roberts 100 Bureau Drive, MS 1650 Gaithersburg, MD 20899-1650 (301) 975 - 2005

AMERICAN RECOVERY AND REINVESTMENT ACT STATE BROADBAND DATA AND DEVELOPMENT GRANT PROGRAM SPECIAL AWARD CONDITIONS UTAH PUBLIC SERVICE COMMISSION 49-50-M09054

3. The Program Officer's name, address, and telephone number are:

Phillip English
National Telecommunications and Information Administration
US Department of Commerce
1401 Constitution Ave., NW, Ste. 4897
Washington, DC 20230

Phone: (202) 482-2038; Fax: (202) 501 0536

Email: PEnglish@ntia.doc.gov

6. Funding Clause

This amendment provides \$3,151,583.00 in additional Federal funds for the continued funding of this multi-year award for a total of \$5,196,025.00, for the Recipient's implementation of the State Broadband Data and Development Program activities described in the Recipient's application and proposal dated July 1, 2010. The overall award period for this action will extend from January 1, 2010 through December 31, 2014. The Department of Commerce is not liable for any obligations, expenditures, or commitments which involve any amount in excess of the Federal amount presently available.

- 19. The Recipient shall be required to adhere to guidelines issued by NTIA regarding state broadband maps, associated content and websites or other publicly distributed materials, and provide notifications to NTIA before making substantial changes to any of the above materials.
- 20. In the event that program objectives in regard to data collection can no longer be achieved, the recipient may be allowed to modify its project proposal or terminate the project.
- 21. The Recipient has agreed to utilize a methodology that has been reviewed by external subject matter experts for the purpose of calculating households served by broadband within a given geography, and, where appropriate, to use the related questions found in the American Community Survey conducted by the Bureau of the Census.
- 22. Federal fund in excess of \$50,000 will not be released for all projects approved under this Amendment until NTIA has reviewed and approved a revised and detailed project plan, timeline and cost estimates, Awardees must submit these items within 120 days from the date of this Amendment, but may do so earlier. Revised budgets for data collection and related activities must include no more than a ten percent of the total five-year mapping

budget costs as a line-item to support the implementation of future, and currently unidentified, leading practices. Federal funds for this line item will not be released until NTIA has reviewed and approved the use of the funds. Notwithstanding the above, federal funds for all contracts expected to be in excess of \$100,000 will not be released until the Scope of Work, cost estimates and contractor qualifications are reviewed and approved by NTIA. Additionally, Awardee must provide to NTIA for review and approval job descriptions for new employees expected to be paid \$100,000 per year or more before Federal funding supporting these positions is released.

- 23. The awarding agency has the right to: 1) obtain, reproduce, publish or otherwise use data first produced under an award; and 2) authorize others to received reproduce, publish or otherwise use such data for federal purposes. (Before authorizing others to use the material, a determination must be made that the use for a federal purpose) except as otherwise specifically provided under an award, authors may copyright any work that is subject to copyright and was developed under an award. When claim is made to copyright, the applicable copyright notice of 17 U.S.C. 401 or 402 and acknowledgment of Federal government, is published, or is deposited for registration as a published work in the U.S. Copyright office. The copyright owner shall grant to the Federal government, and others acting on its behalf, a paid up, nonexclusive, irrevocable, worldwide license for all such works to reproduce, publish, or otherwise use the work of Federal government purposes. See 15 CFR 14.36, 24.34.
- 24. Awardees also receiving Broadband Technology Opportunities Program (BTOP) awards shall not utilize funds BTOP and SBDD funds for the identical activity.

Grant Program Catalog of Federal Function Domestic Assistance			Estimated Unobligated Funds				MARY New or Revised Budget						
or Activity (a)	Number (b)		Federal (c)		Non-Federal (d)		Federal (e)	l	Non-Federal (f)		Total (g)		
1.SBDD	11.558	\$;	\$		\$	3,151,583.00	\$	787,896.00	\$	3,939,479.00		
2.											0.00		
3.								-			0.00		
4.							· · · · · · · · · · · · · · · · · · ·		* * * * * * * * * * * * * * * * * * *		0.00		
5. Totals		\$	0.00	\$	0.00	\$	3,151,583.00	\$	787,896.00	\$	3,939,479.00		
					BUDGET CATE		ES				Spr. 1989 Abye		
6. Object Class Catego	ries			G	RANT PROGRAM, F	UNCTI	ON OR ACTIVITY				Total		
		(1)		(2)		(3)		1.			(5)		
a. Personnel		*	1,371,560.00	\$	39,895.00	\$		\$		\$	1,411,455.00		
b. Fringe Benefi	ts		223,800.00		6,720.00						230,520.00		
c. Travel			51,083.00		r						51,083.00		
d. Equipment			2,000.00								2,000.00		
e. Supplies			2,625.00								2,625.00		
f. Contractual			1,311,625.00						,		1,311,625.00		
g. Construction										 	0,00		
h. Other			188,890.00		741,281.00						930,171.00		
i. Total Direct Ch	narges (sum of 6a-6h)		3,151,583.00		787,896.00		0.00		0.00		3,939,479.00		
j. Indirect Charg	es										0.00		
k. TOTALS (sun	n of 6i and 6j)	\$	3,151,583.00	\$	787,896.00	\$	0.00	\$	0.00	\$	3,939,479.00		
								٠.					
7. Program Income		\$		\$		\$		\$		s	0.00		

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	495 KIRP	SE(CTION	C-N	ION-FEDERAL RE	SO	URCES					
(a) Grant Program	,	\$ Ž			(b) Applicant		(c) State	(d)	Other Sources		(e) TOTALS	
8, SBDD		7.1		\$	787,896.00	\$		\$		\$	787,896.00	
9.											0.00	
10.		.,									0.00	
11.		*									0.00	
12. TOTAL (sum of lines 8-11)				\$	787,896.00	\$	0.00	\$	0.00	\$	787,896.00	
	BB (Bar	SE	CTION	D - F	ORECASTED CA	SH	NEEDS					
	To	otal for 1st Y	ear ear		1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
13. Federal	\$	•	0.00	\$		\$		\$		\$		
14. Non-Federal			0.00									
15. TOTAL (sum of lines 13 and 14)	\$		0.00	\$	0.00	\$	0.00	\$	0.00	\$	0.00	
SECTION E -	BUDGET I	ESTIMATI	ES OF	FEDI	ERAL FUNDS NEE	DE	D FOR BALANCE	OF TH	IE PROJECT			
(a) Grant Program			•		FUTURE FUNDING PERIODS (Years)							
				 	(b) First	-	(c) Second		(d) Third		(e) Fourth	
16.SBDD				\$		\$		\$		\$		
17.												
18.												
19.							· · · · · · · · · · · · · · · · · · ·		· · · · · · · · · · · · · · · · · · ·			
20. TOTAL (sum of lines 16-19)				\$	0.00	\$	0.00	\$	0.00	\$	0.00	
		SEÇ	TION F	-01	HER BUDGET INF	OR	MATION					
21. Direct Charges: \$3,939,479	<u>rena salawa."</u>	1.000 10 78 15 1			22. Indirect	Ch	arges:	enuse S		Mar ma	3 P 46 8 193 (1968 (1968 (1968 (1968 (1968 (1968 (1968 (1968 (1968 (1968 (1968 (1968 (1968 (1968 (1968 (1968 (
23. Remarks:						***********						

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