

### Census 2000 Blocks Greater Than 2 Square Miles

[illegible]

## **Appendix B: Budget Spreadsheets**

<b>Broadband Mapping &amp; Planning Expense Bu</b>									
<b>a. Personnel</b>	<b>Name</b>	<b>Salary</b>	<b>Amount</b>	<b>Amount</b>	<b>Amount</b>	<b>Amount</b>	<b>Amount</b>	<b>Total</b>	
	S. Freiman	80,000	16,000	16,480	4,223	4,329	4,437	45,469	
	S. White	56,866	5,687	5,857	3,016	3,107	3,200	20,867	
	J. Landers	122,140	12,210	12,210	3,664	3,664	3,664	35,412	
	C. Delage Baza	57,332	5,733	5,905	3,345	3,446	3,549	21,978	
	BB Administ.	45,000	14,850	15,221	15,678	16,070	16,471	78,290	
	BB Planner	100,000	100,000	102,500	105,575	108,214	111,461	527,750	
			154,480	158,173	135,501	138,830	142,782	729,766	729,766
<b>b. Fringe Benefi</b>	<b>Name</b>	<b>Fringe Bene</b>	<b>Amount</b>	<b>Amount</b>	<b>Amount</b>	<b>Amount</b>	<b>Amount</b>	<b>Total</b>	
	S. Freiman	24,800	4,960	5,109	1,277	1,309	1,342	13,997	
	S. White	23,250	2,325	2,325	1,163	1,163	1,163	8,139	
	J. Landers	37,863	3,786	3,786	1,136	1,136	1,136	10,980	
	C. Delage Baza	38,147	3,815	3,929	2,024	2,084	2,147	13,999	
	BB Administ.	13,950	4,604	4,719	4,860	4,982	5,106	24,271	
	BB Planner	31,000	31,000	31,775	32,728	33,546	34,553	163,602	
			50,490	51,643	43,188	44,220	45,447	234,988	234,988
<b>c. Travel</b>			3,000	3,000	3,000	3,000	3,000		15,000
<b>d. Equipment</b>									
	RIGIS - Hard/Software		10,000	0	0	0	0	10,000	
	RIEDC - 2 Laptops		5,000	0	0	0	0	5,000	
			15,000						15,000
<b>e. Supplies</b>			9,000	5,000	5,000	5,000	5,000		29,000
<b>f. Contractual</b>									
	Data Collection		450,000	250,000	150,000	150,000	150,000	1,150,000	
	Verification		50,000	20,000	20,000	20,000	20,000	130,000	
	RIGIS System Maint.	54,752	5,475	5,475	5,475	5,475	5,475	27,375	
			505,475	275,475	175,475	175,475	175,475	1,307,375	1,307,375
<b>h. Other</b>									
	GIS Census Block Update		0	25,000	3,000	3,000	3,000	34,000	

Planning Events	12,000	12,000	12,000	12,000	12,000	60,000
BB Website * Newsletter	20,000	18,785	18,784	18,785	18,784	95,138
Information Technolog 130,000	7,800	7,800	7,800	7,800	7,800	39,000
Accounting 243,153	14,589	14,589	14,589	14,589	14,589	72,945
Human Resources 117,326	7,040	7,040	7,040	7,040	7,040	35,200
Infrastructure Enginee 114,233	6,854	6,854	6,854	6,854	6,854	34,270
Facilities/Occupancy/E 1,195,138	71,708	71,708	71,708	71,708	71,708	358,540
Communications 369,659	22,180	22,180	22,180	22,180	22,180	110,900
Research/Data Manag 167,368	10,072	10,072	10,072	10,072	10,072	50,360
	225,998	201,021	179,027	179,028	129,027	964,108
<b>Total Program Budg</b>	<b>963,443</b>	<b>694,319</b>	<b>541,191</b>	<b>545,553</b>	<b>550,731</b>	<b>3,295,237</b>
Federal	768,684	498,475	381,100	385,072	389,850	2,423,181
Non Federal	194,759	195,844	160,091	160,481	160,881	872,056

## Broadband Planning Budget

<b>a. Personnel</b>	<b>Name</b>	<b>Salary</b>	<b>Amount</b>	<b>Amount</b>	<b>Amount</b>	<b>Amount</b>	<b>Amount</b>	<b>Total</b>	
	BB Administ.	45,000	9,000	9,133	9,407	9,642	9,883	47,065	
	BB Planner	100,000	80,000	61,500	63,345	64,928	66,877	336,650	
			89,000	70,633	72,752	74,570	76,760	383,715	386,715
<b>b. Fringe Benefits</b>	<b>Name</b>	<b>Fringe Benefits</b>	<b>Amount</b>	<b>Amount</b>	<b>Amount</b>	<b>Amount</b>	<b>Amount</b>	<b>Total</b>	
	BB Administ.	13,950	2,762	2,831	2,916	2,989	3,064	14,562	
	BB Planner	31,000	24,800	19,065	19,637	20,128	20,732	104,362	
			27,562	21,896	22,553	23,117	23,796	118,924	118,924
<b>c. Travel</b>			3,000	3,000	3,000	3,000	3,000		15,000
<b>d. Equipment</b>	RIEDC - 2 Laptops		5,000	0	0	0	0	5,000	
<b>e. Supplies</b>			9,000	5,000	5,000	5,000	5,000		29,000
<b>f. Contractual</b>									
<b>h. Other</b>	Planning Events		12,000	12,000	12,000	12,000	12,000	60,000	
	Information Techno	130,000	2,600	2,600	2,600	2,600	2,600	13,000	
	Accounting	243,153	4,863	4,863	4,863	4,863	4,863	24,315	
	Human Resources	117,326	2,347	2,347	2,347	2,347	2,347	11,735	
	Infrastructure Engin	114,233	2,285	2,285	2,285	2,285	2,285	11,425	
	Facilities/Occupanc	1,195,138	23,903	23,903	23,903	23,903	23,903	119,515	
	Communications	369,659	7,393	7,393	7,393	7,393	7,393	36,965	
	Research/Data Ma	167,368	3,347	3,347	3,347	3,347	3,347	16,735	
								293,690	293,690
<b>Total Planning Program Budget</b>									<b>843,329</b>
	Federal Funds								-500,000
	Non-Federal Funds								343,329

[illegible]

*The RIEDC does not have an established indirect rate, but has a protocol audited by DCAA and D	872,056
and appropriate expenses. Flat Funded assumption	

# BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 4040-0006

Expiration Date 07/30/2010

## SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. State Broadband Data and Development Grant Program	0660-ZA29	\$	\$	\$ 2,423,181.00	\$ 872,056.00	\$ 3,295,237.00
2.						
3.						
4.						
5. Totals		\$	\$	\$ 2,423,181.00	\$ 872,056.00	\$ 3,295,237.00

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# SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
	State Broadband Data and Development Grant Program				
a. Personnel	\$ 729,766.00	\$	\$	\$	\$ 729,766.00
b. Fringe Benefits	234,988.00				234,988.00
c. Travel	15,000.00				15,000.00
d. Equipment	15,000.00				15,000.00
e. Supplies	29,000.00				29,000.00
f. Contractual	1,307,375.00				1,307,375.00
g. Construction	0.00				
h. Other	964,108.00				964,108.00
i. Total Direct Charges (sum of 6a-6h)	3,295,237.00				\$ 3,295,237.00
j. Indirect Charges	0.00				\$
k. TOTALS (sum of 6i and 6j)	\$ 3,295,237.00	\$	\$	\$	\$ 3,295,237.00
7. Program Income	\$ 0.00	\$	\$	\$	\$

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SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program		(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8.	State Broadband Data and Development Grant Program	\$ 789,684.00	\$ 82,372.00	\$ 0.00	\$ 872,056.00
9.					
10.					
11.					
12. TOTAL (sum of lines 8-11)		\$ 789,684.00	\$ 82,372.00		\$ 872,056.00

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 768,684.00	\$ 203,417.00	\$ 188,422.00	\$ 188,422.00	\$ 188,423.00
14. Non-Federal	\$ 194,759.00	48,690.00	48,690.00	48,690.00	48,689.00
15. TOTAL (sum of lines 13 and 14)	\$ 963,443.00	\$ 252,107.00	\$ 237,112.00	\$ 237,112.00	\$ 237,112.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program		FUTURE FUNDING PERIODS (YEARS)			
		(b) First	(c) Second	(d) Third	(e) Fourth
16.	State Broadband Data and Development Grant Program	\$ 489,475.00	\$ 381,100.00	\$ 385,072.00	\$ 389,850.00
17.					
18.					
19.					
20. TOTAL (sum of lines 16 - 19)		\$ 489,475.00	\$ 381,100.00	\$ 385,072.00	\$ 389,850.00

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges: 3295237	22. Indirect Charges: 0
23. Remarks: The Rhode Island Economic Development Corporation does not have an established indirect rate.	

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**State Broadband Data and Development Grant Program  
Grant Budget Narrative**

**Funding Sources Detailed Analysis**

**a. Applicant (Rhode Island Economic Development Corp.)**

**i. Stuart Freiman – Program Manager**

The RIEDC will contribute the services of Stuart Freiman, Broadband Program Manager for RI's designated applicant for this grant. It is estimated that for the first two years of the grant performance, he will dedicate 20% of his time to managing the grant. In the subsequent three years this percentage will decrease to 10%. His current salary of \$80K is scheduled to take annual increases and those have been estimated and included in the subsequent years. The RIEDC contributes 31% of the base salary to fringe benefits.

**ii. Allocated Corporate Direct Expenses**

The RIEDC has no established indirect rate. These expenses are based on current actual applicable, allowable, and allocable costs that can be directly applied to the four personnel that are anticipated Broadband Program. Worksheets that are based on current actual expenses are available.

These expenses are listed as Direct on the Broadband Budget, Funding Sources spreadsheet as well as the Broadband Mapping & Planning Expense Budget and the Broadband Planning Budget and include line items for IT, Accounting, HR, Engineering, Facilities/ Occupancy/ Executive Management, Communications, and Research.

**CERTIFICATE OF INDIRECT COSTS**

This is to certify that the Rhode Island Economic Development Corporation does not have an established indirect cost rate. We have had our Accounting Practices and protocol for determining allowable and allocable corporate costs appropriate to individual grants, contracts, and cooperative agreements reviewed by the DCAA as well as the DDMA as acknowledged below. I certify that to the best of my knowledge and belief:

- (1) All costs included in this proposal dated July 15, 2009 comply with the RIEDC's Accounting SOP regarding determination of allocable, allocable direct costs for Federal Grants and Cooperative Agreements. These costs are allowable in accordance with the requirements of the Federal award(s) to which they apply and OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments." Unallowable costs have been adjusted for in allocating costs as indicated in the cost allocation plan.
- (2) All costs included in this proposal are properly allocable to Federal awards on the basis of a beneficial or causal relationship between the expenses incurred and the agreements to which they are allocated in accordance with applicable requirements.

Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have been accounted for consistently and the cognizant state agency or Federal Government will be notified of any accounting changes that would affect the indirect cost rate.

I declare that the foregoing is true and correct.

Rhode Island Economic Development Corporation

Signature: 

Name of Official: J. Michael Saul

Title: Interim Executive Director

Date of Execution: July 15, 2009



DEFENSE CONTRACT MANAGEMENT AGENCY  
DCMA AERONAUTICAL SYSTEM DIVISION  
495 SUMMER STREET  
BOSTON, MA 02210-2184



IN REPLY  
REFER TO

DCMAA Boston-AFCS

May 12, 2009

Ms. Dorothy A. Reynolds  
Program Director, Procurement Technical Assistance Center  
Rhode Island Economic Development Center  
315 Iron Horse Way, Suite 101  
Providence, RI 02908

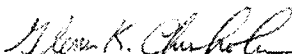
DCAA Audit Report No. 02171-2008N17900001 dated February 26, 2008 questioned the allocation of \$102,577 of the total program cost of \$325,472 for Cooperative Agreement SP4800-04-2-0386, option year 3. The Government share (50%) of the total questioned amount is \$51,288.50. The questioned amount related to facilities and services and salaries of employees. DCAA considers these indirect costs as unallowable per the terms of the cooperative agreement as amended by Modifications P00003 and P00004. The modifications state that "Indirect costs shall be billed at a rate of 0 percent or a dollar amount not to exceed \$0" and that "a negotiated indirect rate memorandum does not apply to the subject agreement".

The ACO agrees that per OMB Circular A-122 the establishment of an indirect rate or at minimum a G&A rate at time of solicitation and award should normally be included. For the Agreement in question however this was not done. RIEDC proposed Labor, Fringe Benefit, Travel, Computer, Publication Supply, Consultant Services, Conferences, Memberships, Telephone, Postage, Facilities, Seminars, Brochures, Training and other Costs all as Direct Costs with an Indirect Rate of 0 and an Indirect Charge of %0. These costs were accepted and included as part of the award document.

The ACO discussed the award of RIEDC's cooperative agreement and DCAA's questioned costs with DLA's Grant Officer. The Grant Officers response made clear the intent was not to prohibit the reimbursement of items that would normally be in a cost pool such as phone service, personnel, and office space if charged as direct costs. Based upon the Grant Officers clarification the ACO considers the costs questioned in the audit report as allowable.

Please contact the undersigned at (617)753-3314 or e-mail [glenn.chisholm@dcma.mil](mailto:glenn.chisholm@dcma.mil) if you have any questions regarding this correspondence.

Sincerely,

  
Glenn K. Chisholm  
Administrative Grants Officer  
DCMAA Boston-AFCS

**STANDARD OPERATING PROCEDURE**  
**Procurement Technical Assistance Center (PTAC) Revenues and Expenditures**

**February 2009**

**1.0    PURPOSE:**

The purpose of this document is to provide the guidelines and administrative procedures relative to the processing of PTAC revenues and expenditures.

**2.0    RESPONSIBILITIES:**

The PTAC Manager is responsible for reviewing and approving all direct program costs for compliance with OMB Circular A-122; submission of Quarterly Reimbursement Requests.

The Director of Accounting for the RI Economic Development Corporation (EDC) is responsible for reviewing all direct administrative costs for compliance with OMB Circular A-122.

**3.0    PROCEDURES:**

**Expenditures:**

a. Payroll and related taxes for all EDC (including PTAC) employees processed on a bi-weekly basis. Supervisor approval is made through ADP EZ Labor system. Totals posted by Cost Center to Great Plains Accounting system. Funds disbursed from EDC checking account.

b. Invoices for Employee benefits for all EDC (including PTAC) employees processed as received (monthly). Invoices posted by Cost Center to Great Plains Accounting system. Funds disbursed from EDC checking account

c. Invoices for Direct Program costs approved by PTAC Manager and submitted to EDC Accounting Department for processing. Invoices posted by Cost Center to Great Plains Accounting system. Funds disbursed from EDC checking account

**Revenues:**

**a. Quarterly Reimbursement:**

1.0 - PTAC Manager to prepare reimbursement schedule based on actual Direct Program Costs in compliance with OMB Circular A-122.

2.0 - Director of Accounting for EDC to prepare schedule based on actual Direct Administrative Costs in compliance with OMB Circular A-122.

3.0 – PTAC Manager submits SF 270 – Request for Advance or Reimbursement

4.0 – Funds are wired into EDC checking account.

**b. State (Department of Administration)**

Shane White - RIGIS Program Coordinator

The state of Rhode Island will contribute the services of Shane White, Program Manager for the state's GIS system, managed by the RI Department of Administration. It is estimated that in the first two years of the grant performance, he will dedicate 10% of his time to managing the integration of vendor supplied data into the state's existing GIS system. In the subsequent three years this percentage will decrease to 5%. His current salary of \$56,866 will be augmented by negotiated scheduled salary increases reflected in the budget.

Christina Delage Baza – RIGIS Program Engineer

The state of Rhode Island will contribute the services of Christina Delage Baza, Program Engineer for the state's GIS system, managed by the RI Department of Administration. It is estimated that in the first two years of the grant performance, she will dedicate 10% of her time to systems integration of vendor supplied data into the state's existing GIS system. In the subsequent three years this percentage will decrease to 5%. Her current salary of \$57,332 will be augmented by negotiated scheduled salary increases reflected in the budget.

John Landers – Chief Information Officer

The state of Rhode Island will contribute the services of John Landers, Chief Information Officer, RI Department of Administration. It is estimated that in the first two years of the grant performance, he will dedicate 10% of his time to managing the integration of the Broadband Mapping Program into the state's Information Management system and serve as liaison for the plan to the Executive Branch of the state. In the subsequent three years this percentage will decrease to 3%. His current salary of \$122,140 is estimated to remain at that level through the foreseeable future due to budget constraints.

**Total Project Expense Budget Detailed Analysis**

- Personnel – \$729,766 -- Total personnel expenses estimated over the life of the project which includes the attributed time being provided by the various state agencies for the project team to execute and manage the various pieces of the project. They were calculated as a percentage of actual salary figures. This includes current project personnel S. Freiman, S. White, J. Landers & C. Delage Baza. In addition, we anticipate hiring a part-time grant administrator (BB Admin.) and a full time planner (BB Planner) to run the proposed Broadband Program Office (BPO). The planner is an experienced senior-level executive position.

- Fringe Benefits – \$234,988 -- The RIEDC assigns 31% of salary to fringe benefits. The state personnel listed are negotiated separately.
- Travel – The travel budget of \$15,000 (\$3,000/year) covers the mileage and expenses related to the project team traveling across the state as part of our obligation to communicate with and disseminate project information to the various stakeholders within the state through conferences, workshops & meetings. Includes mileage at the current federal rate of \$.505/mile. In addition, the budget anticipates travel to Washington, D.C. to meet with the NTIA, FCC, RI federal delegation & our peers in other states to report on our progress and participate in any sessions planned around the topic of broadband over the life of the project. Most of these dollars will be used during the planning phases of the project.
- Equipment – The equipment budget of \$15,000 is planned as a first year expense and covers the following: \$10,000 for a new MS Windows-based server (hardware/software) to store the new broadband data and map generated by the project. This estimate is based on current market pricing. The server will be used by the group responsible for maintaining the broadband GIS layer. In addition, we have budgeted \$5000 for two (2) laptops which will be assigned to the new BB Administrator and BB Planner. Again, estimate based on market pricing.
- Supplies – The supply budget of \$29,000 over 5 years is an estimate to cover all standard office supplies used in the project. It is front-loaded at \$9,000 in the first year to cover the initial reports and outreach collateral generated by the project.
- Contractual – \$1,307,375, which is a major portion of the overall budget, covers the expense to hire a firm to execute all of the data collection and mapping elements as specified in the NOFA and our grant proposal. The largest piece of this is \$1,150,000 for the data collection. We based this estimate on early conversations we've had with mapping/data collection vendors, as well as the estimates provided by other states that are further ahead in the process. In addition, we estimated \$130,000 for an independent organization to verify the data and \$27,375 for the allocated maintenance cost for the GIS system maintenance over five (5) years.
- Other – Other expenses are budgeted at \$964,108 which breaks out as follows:
  - GIS Census Block Update -- \$34,000 – When the 2010 census is completed we will have to update the GIS system with the new census block data. We've estimated that expense at \$25,000 in year two (2) with an additional expense of \$3,000 per year afterwards to maintain that layer.
  - Data Confidentiality Filter System -- \$60,000 – Expense for software we need to purchase to manage the storage and distribution of confidential data in the GIS system. That capability does not exist in our GIS system today. We've estimated \$40,000 initial expense based on market estimates and \$5000/yr thereafter for maintenance and upgrades.
  - RFP Review Committee – \$13,755 – The vendor selection committee for the mapping grant will comprise the members of the Broadband Advisory Committee appointed by Governor Carcieri. These are senior level folks and we estimated their time of two (2) days each for RFP review at the fully loaded salary plus fringe of \$150,000/yr.

- Planning Events -- \$60,000 over five (5) years @ \$12,000 per cover. Covers the expenses for our yearly conferences and workshops. Event planning estimate of 1 conference and 2-4 workshops per year.
- BB Website and Newsletter -- \$95,138 -- To create and maintain an online website which provides the general public with access to all the information generated by the project including the maps. The site will also serve as the repository for all the project management information and will be a shared workspace and portal for the project and program teams.
- Allocated Corporate Direct Expenses -- \$701,215 -- The RIEDC has no established indirect rate. These expenses are based on current actual applicable, allowable, and allocable costs that can be directly applied to the four personnel that are anticipated working on the Broadband Program. Worksheets that are based on current actual expenses are available. These expenses are listed as Direct on the Broadband Budget, Funding Sources spreadsheet as well as the Broadband Mapping & Planning Expense Budget and the Broadband Planning Budget and include line items for IT, Accounting, HR, Engineering, Facilities/ Occupancy/ Executive Management, Communications, and Research.

#### **Planning Expense Budget Detailed Analysis**

- Personnel – \$386,715 -- Planning Project personnel expenses over the life of the project. We anticipate hiring a part-time grant administrator (BB Admin.) and a full time planner (BB Planner) to run the proposed Broadband Program Office (BPO). The planner is an experienced senior-level executive position.
- Fringe Benefits – \$118,924 -- The RIEDC assigns 31% of salary to fringe benefits.
- Travel – \$15,000 -- The travel budget covers the mileage and expenses related to the project team traveling across the state as part of our obligation to communicate with and disseminate project information to the various stakeholders within the state through conferences, workshops & meetings. Includes mileage at the current federal rate of \$.505/mile. In addition, the budget anticipates travel to Washington, D.C. to meet with the NTIA, FCC, RI federal delegation & our peers in other states to report on our progress and participate in any sessions planned around the topic of broadband over the life of the project.
- Equipment – \$5,000 -- For two (2) laptops which will be assigned to the new BB Administrator and BB Planner. Estimate based on market pricing.
- Supplies – \$29,000 -- The supply budget is estimated to cover all standard office supplies used in the project. It is front-loaded at \$9,000 in the first year to cover the initial reports and outreach collateral generated by the project.
- Other – Other expenses are budgeted at \$293,690 which breaks out as follows:
  - Planning Events -- \$60,000 over five (5) years @ \$12,000 per cover. Covers the expenses for our yearly conferences and workshops. Event planning estimate of 1 conference and 2-4 workshops per year.

- Allocated Corporate Direct Expenses -- \$233,690 -- The RIEDC has no established indirect rate. These expenses are based on current actual applicable, allowable, and allocable costs that can be directly applied to the four personnel that are anticipated working on the Broadband Program. Worksheets that are based on current actual expenses are available. These expenses are listed as Direct on the Broadband Budget, Funding Sources spreadsheet as well as the Broadband Mapping & Planning Expense Budget and the Broadband Planning Budget and include line items for IT, Accounting, HR, Engineering, Facilities/ Occupancy/ Executive Management, Communications, and Research.

## **State Broadband Data and Development Grant Program Grant Budget Narrative**

### **Planning Expense Budget Detailed Analysis**

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State of Rhode Island and Providence Plantations

State House  
Providence, Rhode Island 02903-1196  
401-222-2080

Donald L. Carcieri  
Governor

August 10, 2009

Larry Strickling, Administrator  
National Telecommunication and Information Administration  
1401 Constitution Ave., N.W.  
Washington, DC 20230

RE: Letter of State Designation for Rhode Island

Dear Mr. Strickling:

The Broadband Data Improvement Act (BDIA), Title I of Public Law No. 110-385, 122 Stat. 4096 (October 10, 2008), requires a letter of state designation affirming that the Rhode Island Economic Development Corporation is the single entity in Rhode Island to receive a grant under this program.

On July 1, 2009, the National Telecommunications and Information Administration (NTIA) issued a Notice of Fund Availability (NOFA) for the State Broadband Mapping Grant in Docket No. 066-ZA29. The NOFA reiterates the BDIA requirement for a letter of state designation.

As Governor of the State of Rhode Island, I do hereby designate the Rhode Island Economic Development Corporation, an agency of the state, as the authorized designee for mapping broadband availability in Rhode Island.

Thank you for your attention to this matter.

Sincerely,  
A handwritten signature in cursive script, reading "Donald L. Carcieri".

Donald L. Carcieri

cc: Jamia McDonald Rhode Island Office of Economic Recovery and Reinvestment Director  
John Landers, Rhode Island Chief Information Officer  
Stuart Freiman, Rhode Island Economic Development Corporation

Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 15 CFR Part 28, 'New Restrictions on Lobbying.' The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Commerce determines to award the covered transaction, grant, or cooperative agreement.

**LOBBYING**

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 15 CFR Part 28, for persons entering into a grant, cooperative agreement or contract over \$100,000 or a loan or loan guarantee over \$150,000 as defined at 15 CFR Part 28, Sections 28.105 and 28.110, the applicant certifies that to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, 'Disclosure Form to Report Lobbying,' in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure occurring on or before October 23, 1996, and of not less than \$11,000 and not more than \$110,000 for each such failure occurring after October 23, 1996.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above applicable certification.

**\* NAME OF APPLICANT**

Rhode Island Economic Development Corp

**\* AWARD NUMBER**

0660-ZA29

**\* PROJECT NAME**

Rhode Island Broadband Mapping and Planning Program

Prefix:

\* First Name:

Middle Name:

Dorothy

Ann

\* Last Name:

Reynolds

Suffix:

\* Title:

Program Manager

\* SIGNATURE:

Dorothy Reynolds

\* DATE:

08/14/2009

# DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C.1352

Approved by OMB  
0348-0046

<b>1. * Type of Federal Action:</b> <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. * Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input checked="" type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. * Report Type:</b> <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> SubAwardee * Name: Rhode Island Economic Development Corp * Street 1: 315 Iron Horse Way    Street 2: Suite 101 * City: Providence    State: RI: Rhode Island    Zip: 02908 Congressional District, if known: RI-all		
<b>5. If Reporting Entity in No.4 is Subawardee, Enter Name and Address of Prime:</b>		
<b>6. * Federal Department/Agency:</b> Department of Commerce	<b>7. * Federal Program Name/Description:</b>  CFDA Number, if applicable:	
<b>8. Federal Action Number, if known:</b> RIN 0660-ZA29	<b>9. Award Amount, if known:</b> \$	
<b>10. a. Name and Address of Lobbying Registrant:</b> Prefix    * First Name: None    Middle Name: * Last Name: None    Suffix: * Street 1:    Street 2: * City:    State:    Zip:		
<b>b. Individual Performing Services</b> (including address if different from No. 10a) Prefix    * First Name: None    Middle Name: * Last Name: None    Suffix: * Street 1:    Street 2: * City:    State:    Zip:		
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when the transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.  * Signature: Dorothy Reynolds * Name: Prefix    * First Name: Dorothy    Middle Name: Ann * Last Name: Reynolds    Suffix: Title: Program Manager    Telephone No.: 401 278-9175    Date: 08/14/2009		
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## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

<p><b>* SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</b></p> <p>Dorothy Reynolds</p>	<p><b>* TITLE</b></p> <p>Program Manager</p>
<p><b>* APPLICANT ORGANIZATION</b></p> <p>Rhode Island Economic Development Corp</p>	<p><b>* DATE SUBMITTED</b></p> <p>08/14/2009</p>

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