

AMENDMENT TO FINANCIAL ASSISTANCE AWARD

ACCOUNTING CODE

See Attached

AWARD NUMBER

44-50-M09052

RECIPIENT NAME

Rhode Island Commerce Corporation.

AMENDMENT NUMBER

5

STREET ADDRESS

315 Iron Horse Way Suite 101

EFFECTIVE DATE

FEB 21 2014

CITY, STATE ZIP

Providence, RI 02908

EXTEND WORK COMPLETION TO

December 19, 2014

CFDA NO. AND PROJECT TITLE:

11.558 American Recovery and Reinvestment Act - SBDD - Rhode Island Economic Development Corporation

| COSTS ARE REVISED AS FOLLOWS: | PREVIOUS ESTIMATED COST | ADD | DEDUCT | TOTAL ESTIMATED COST |
|-------------------------------|-------------------------|---------------|---------------|-----------------------|
| FEDERAL SHARE OF COST | \$4,544,787.00 | \$0.00 | \$0.00 | \$4,544,787.00 |
| RECIPIENT SHARE OF COST | \$1,136,197.00 | \$0.00 | \$0.00 | \$1,136,197.00 |
| TOTAL ESTIMATED COST | \$5,680,984.00 | \$0.00 | \$0.00 | \$5,680,984.00 |

REASON(S) FOR AMENDMENT

This grant is hereby amended to (1) approve the revised budget submitted by the recipient dated 10/28/2013 as requested on Award Action Request (AAR) dated 12/05/2013, (2) acknowledge recipients name change to Rhode Island Commerce Corporation as requested on AAR dated 12/03/2013, (3) update NIST Grants Office in Special Award Conditions (SAC) #4, and (4) update NIST Grants Specialist in SAC #5.

ALL OTHER TERMS AND CONDITIONS REMAIN IN EFFECT

This Amendment approved by the Grants Officer is issued in triplicate and constitutes an obligation of Federal funding. By signing the three documents, the Recipient agrees to comply with the Amendment provisions checked below and attached, as well as previous provisions incorporated into the Award. Upon acceptance by the Recipient, two signed Amendment documents shall be returned to the Grants Officer and the third document shall be retained by the Recipient. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally terminate this Amendment.

Special Award Conditions

Line Item Budget

Other:

SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER

DATE

Calvin Mitchell 

2/21/2014

TYPED NAME, TYPED TITLE, AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL

DATE

Marcel Valois, Executive Director 

3/4/2014

Award Number: 44-50-M09052, Amendment Number 5
Federal Program Officer: Lynn Chadwick
Requisition Number: M09052A
Employer Identification Number: 05-0356994
Dun & Bradstreet No: 824875843
Recipient ID: 4419230
Requestor ID: 4419230
Treasury Account Symbol (TAS): 0554

Award ACCS Information

| Bureau Code | FCFY | Project-Task | Org Code | Obj Class | Obligation Amount |
|-------------|------|--------------|------------------------|-------------|-------------------|
| 61 | 2010 | 4805011-300 | 06-10-0000-00-00-00-00 | 41-19-00-00 | \$0.00 |

Award Contact Information

| Contact Name | Contact Type | Email | Phone |
|----------------------|----------------|--------------------|--------------|
| Mr. Stuart M Freiman | Administrative | sfreiman@riedc.com | 401-278-9168 |

NIST Grants Officer:

Calvin Mitchell
100 Bureau Drive, MS 1650
Gaithersburg, MD 20899-1650
(301) 975-4585

NIST Grants Specialist:

Prouda Adams
100 Bureau Drive, MS 1650
Gaithersburg, MD 20899-1650
(301) 975-6080

NOV 2013

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

| SECTION A - BUDGET SUMMARY | | | | | | |
|--|---|-----------------------------|-----------------|-----------------------|-----------------|-----------------|
| Grant Program Function or Activity (a) | Catalog of Federal Domestic Assistance Number (b) | Estimated Unobligated Funds | | New or Revised Budget | | |
| | | Federal (c) | Non-Federal (d) | Federal (e) | Non-Federal (f) | Total (g) |
| 1. SBDD | 11.558 | \$ | \$ | \$ 4,544,787.00 | \$ 1,136,197.00 | \$ 5,680,984.00 |
| 2. | | | | | | 0.00 |
| 3. | | | | | | 0.00 |
| 4. | | | | | | 0.00 |
| 5. Totals | | \$ 0.00 | \$ 0.00 | \$ 4,544,787.00 | \$ 1,136,197.00 | \$ 5,680,984.00 |

SECTION B - BUDGET CATEGORIES

| 6. Object Class Categories | GRANT PROGRAM, FUNCTION OR ACTIVITY | | | Total (5) | | |
|--|-------------------------------------|---------|---------------|-----------------|-----------------|-----------------|
| | (1) | (2) | (3) | | | |
| a. Personnel | \$ | \$ | \$ 849,868.00 | \$ 20,974.00 | \$ 870,842.00 | |
| b. Fringe Benefits | | | 226,443.00 | 6,793.00 | 233,236.00 | |
| c. Travel | | | 36,500.00 | 0.00 | 36,500.00 | |
| d. Equipment | | | 0.00 | 0.00 | 0.00 | |
| e. Supplies | | | 28,454.00 | 0.00 | 28,454.00 | |
| f. Contractual | | | 2,897,150.00 | 0.00 | 2,897,150.00 | |
| g. Construction | | | 0.00 | 0.00 | 0.00 | |
| h. Other | | | 247,320.00 | 586,580.00 | 833,900.00 | |
| i. Total Direct Charges (sum of 6a-6h) | | 0.00 | 0.00 | 4,285,735.00 | 614,347.00 | 4,900,082.00 |
| j. Indirect Charges | | | 259,052.00 | 521,850.00 | 780,902.00 | |
| k. TOTALS (sum of 6i and 6j) | \$ | \$ 0.00 | \$ 0.00 | \$ 4,544,787.00 | \$ 1,136,197.00 | \$ 5,680,984.00 |

| | | | | | | |
|-------------------|----|----|----|----|----|------|
| 7. Program Income | \$ | \$ | \$ | \$ | \$ | 0.00 |
|-------------------|----|----|----|----|----|------|

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Standard Form 424A (Rev. 7-97)
Prescribed by OMB Circular A-102

| SECTION C - NON-FEDERAL RESOURCES | | | | | |
|---|--------------------------------|-----------------------|-------------------|-------------|-------------|
| (a) Grant Program | (b) Applicant | (c) State | (d) Other Sources | (e) TOTALS | |
| 8. | \$ | \$ | \$ | \$ 0.00 | |
| 9. | | | | 0.00 | |
| 10. | | | | 0.00 | |
| 11. | | | | 0.00 | |
| 12. TOTAL (sum of lines 8-11) | \$ 0.00 | \$ 0.00 | \$ 0.00 | \$ 0.00 | |
| SECTION D - FORECASTED CASH NEEDS | | | | | |
| | Total for 1st Year | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter |
| 13. Federal | \$ 0.00 | \$ | \$ | \$ | \$ |
| 14. Non-Federal | 0.00 | | | | |
| 15. TOTAL (sum of lines 13 and 14) | \$ 0.00 | \$ 0.00 | \$ 0.00 | \$ 0.00 | \$ 0.00 |
| SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT | | | | | |
| (a) Grant Program | FUTURE FUNDING PERIODS (Years) | | | | |
| | (b) First | (c) Second | (d) Third | (e) Fourth | |
| 16. | \$ | \$ | \$ | \$ | |
| 17. | | | | | |
| 18. | | | | | |
| 19. | | | | | |
| 20. TOTAL (sum of lines 16-19) | \$ 0.00 | \$ 0.00 | \$ 0.00 | \$ 0.00 | |
| SECTION F - OTHER BUDGET INFORMATION | | | | | |
| 21. Direct Charges: | | 22. Indirect Charges: | | | |
| 23. Remarks: | | | | | |

**AMERICAN RECOVERY AND REINVESTMENT ACT
BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM
SPECIAL AWARD CONDITIONS
Rhode Island Commerce Corporation.
Award Number 44-50-M09052 Amendment # 5**

2. Recipients Contact:

Stuart Freiman
Broadband Program Manager
Rhode Island Commerce Corporation
315 Iron Horse Way
Providence, Rhode Island 02908
Phone: 401-278-9168
E-mail: sfreiman@riedc.com

4. The Grants Officer:

Calvin Mitchell
National Institute of Standards and Technology
Grants and Agreement's Management Division
100 Bureau Drive, Mail Stop 1650
Phone: (301) 974-4585
E-mail: calvin.mitchell@nist.gov

5. The Grants Specialist:

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Grants and Agreement's Management Division
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