FORM CD-451 **X GRANT** COOPERATIVE AGREEMENT U.S. DEPARTMENT OF COMMERCE (REV 10/98) ACCOUNTING CODE **See Attached** **AMENDMENT TO** AWARD NUMBER FINANCIAL ASSISTANCE AWARD 44-50-M09052 AMENDMENT NUMBER RECIPIENT NAME 2 Rhode Island Economic Development Corp. **EFFECTIVE DATE** STREET ADDRESS

SEP 2 8 2010 315 Iron Horse Way Suite 101 **EXTEND WORK COMPLETION TO**

CITY. STATE ZIP December 19, 2014

CFDA NO. AND PROJECT TITLE:

Providence, RI 02908

11.558 American Recovery and Reinvestment Act - SBDD - Rhode Island Economic Development Corporation

COSTS ARE REVISED AS FOLLOWS:	PREVIOUS ESTIMATED COST	ADD	DEDUCT	TOTAL ESTIMATED COST		
FEDERAL SHARE OF COST	\$1,542,660.00	\$3,002,127.00	\$0.00	\$4,544,787.00		
RECIPIENT SHARE OF COST	\$385,665.00	\$750,532.00	\$0.00	\$1,136,197.00		
TOTAL ESTIMATED COST	\$1,928,325.00	\$3,752,659.00	\$0.00	\$5,680,984.00		

REASON(S) FOR AMENDMENT

This Grant is hereby amended to provide additional Federal funding in the amount of \$3,002,127.00 to complete the project to map broadband availability and engage in broadband planning activities for five (5) years for the state of Rhode Island, pursuant to the American Recovery and Reinvestment Act of 2009 and the Broadband Data Improvement Act. Awards made pursuant to the State Broadband Data and Development Grant Program are available only to the single eligible entity designated by each State. Revised SAC #3 Federal Program Officer contact information.

ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.

This Amendment approved by the Grants Officer is issued in triplicate and constitutes an obligation of Federal funding. By signing the three documents, the Recipient agrees to comply with the Amendment provisions checked below and attached, as well as previous provisions incorporated into the Award. Upon acceptance by the Recipient, two signed Amendment documents shall be returned to the Grants Officer and the third document shall be retained by the Recipient. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally terminate this Amendment.

Х	Special	Award	Conditions
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X Line Item Budget

Other:

SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER	DATE
Joyce Brigham Jose F. Giakon	9/27/10
TYPED NAME, TYPED TITLE, AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL	DATE
KEITH STOKES EXECUTIVE DIRECTOR, RIEX	10/12/10

Award Number: 44-50-M09052, Amendment Number 2

Federal Program Officer: Phillip English

Requisition Number: M09052A

Employer Identification Number: 05-0356994

Dun & Bradstreet No: 824875843

Recipient ID: 4419230 Requestor ID: 4419230

Treasury Account Symbol (TAS): 0554

Award ACCS Information

124/16/25/16					
2004					afterfrege berge beteren
61	2010	4805011-300	06-10-0000-00-00-00	41-19-00-00	\$3,002,127.00

Award Contact Information

1 - 77 5 3 5 4 13 8 5 5 5 1 5 1	4 (200 May 11) Proper	Terrorer	i-figure
Stuart M Freiman	Administrative	sfreiman@riedc.com	(401) 278-9168

NIST Grants Officer:

Joyce Brigham 100 Bureau Drive, MS 1650 Gaithersburg, MD 20899-1650 (301) 975 - 6329

NIST Grants Specialist:

Thomas Murphy 100 Bureau Drive, MS 1650 Gaithersburg, MD 20899-1650 (301) 975 - 8180

AMERICAN RECOVERY AND REINVESTMENT ACT STATE BROADBAND DATA AND DEVELOPMENT GRANT PROGRAM SPECIAL AWARD CONDITIONS RHODE ISLAND ECONOMIC DEVELOPMENT CORP. 44-50-M09052

3. The Program Officer's name, address, and telephone number are:

Phillip English
National Telecommunications and Information Administration
US Department of Commerce
1401 Constitution Ave., NW, Ste. 4897
Washington, DC 20230

Phone: (202) 482-2038; Fax: (202) 501 0536

Email: PEnglish@ntia.doc.gov

6. Funding Clause

This amendment provides \$3,002,127.00 in additional Federal funds for the continued funding of this multi-year award for a total of \$4,544,787.00, for the Recipient's implementation of the State Broadband Data and Development Program activities described in the Recipient's application and proposal dated July 1, 2010 and revision dated August 12, 2010. The overall award period for this action will extend from December 20, 2019 through December 19, 2014. The Department of Commerce is not liable for any obligations, expenditures, or commitments which involve any amount in excess of the Federal amount presently available.

- 17. The Recipient shall be required to adhere to guidelines issued by NTIA regarding state broadband maps, associated content and websites or other publicly distributed materials, and provide notifications to NTIA before making substantial changes to any of the above materials.
- 18. In the event that program objectives in regard to data collection can no longer be achieved, the recipient may be allowed to modify its project proposal or terminate the project.
- 19. The Recipient has agreed to utilize a methodology that has been reviewed by external subject matter experts for the purpose of calculating households served by broadband within a given geography, and, where appropriate, to use the related questions found in the American Community Survey conducted by the Bureau of the Census.
- 20. Federal fund in excess of \$50,000 will not be released for all projects approved under this Amendment until NTIA has reviewed and approved a revised and detailed project plan, timeline and cost estimates, Awardees must submit these items within 120 days from the date of this Amendment, but may do so earlier. Revised budgets for data collection and related activities must include no more than a ten percent of the total five-year mapping

budget costs as a line-item to support the implementation of future, and currently unidentified, leading practices. Federal funds for this line item will not be released until NTIA has reviewed and approved the use of the funds. Notwithstanding the above, federal funds for all contracts expected to be in excess of \$100,000 will not be released until the Scope of Work, cost estimates and contractor qualifications are reviewed and approved by NTIA. Additionally, Awardee must provide to NTIA for review and approval job descriptions for new employees expected to be paid \$100,000 per year or more before Federal funding supporting these positions is released.

- 21. The awarding agency has the right to: 1) obtain, reproduce, publish or otherwise use data first produced under an award; and 2) authorize others to received reproduce, publish or otherwise use such data for federal purposes. (Before authorizing others to use the material, a determination must be made that the use for a federal purpose) except as otherwise specifically provided under an award, authors may copyright any work that is subject to copyright and was developed under an award. When claim is made to copyright, the applicable copyright notice of 17 U.S.C. 401 or 402 and acknowledgment of Federal government, is published, or is deposited for registration as a published work in the U.S. Copyright office. The copyright owner shall grant to the Federal government, and others acting on its behalf, a paid up, nonexclusive, irrevocable, worldwide license for all such works to reproduce, publish, or otherwise use the work of Federal government purposes. See 15 CFR 14.36, 24.34.
- 22. Awardees also receiving Broadband Technology Opportunities Program (BTOP) awards shall not utilize funds BTOP and SBDD funds for the identical activity.

BUDGET INFORMATION - Non-Construction Programs

					A - BUDGET SUM		Y				
Grant Program Function	Catalog of Federal Domestic Assistance		Estimated Uno	bliga	ated Funds			Nev	or Revised Budge	:	
or Activity (a)	Number (b)		Federal (c)		Non-Federal (d)		Federal (e)	,	Non-Federal (f)	_	Total (g)
1.SBDD	11.558	\$		\$		\$	3,002,127.00	\$	750,532.00	\$	3,752,659.00
2.											0.00
3.											0.00
4.					·		· .			*****************	0.00
5. Totals		\$	0.00	\$	0.00	\$	3,002,127.00	\$	750,532.00	\$	3,752,659.00
		·	SECTIO	ΝB	- BUDGET CATE	GOR		· · · ·			······································
6. Object Class Catego	ories				GRANT PROGRAM, FI		ON OR ACTIVITY				Total
		(1) \$	Federal	(2)	Non-Federal	(3)		0		Φ.	(5)
a. Personnel		3	721,162.00	3		P		\$		\$	721,162.00
b. Fringe Benefi	its		292,465.00								292,465.00
c. Travel			24,000.00								24,000.00
d. Equipment			5,000.00								5,000.00
e. Supplies	·		7,500.00				· · · · · · · · · · · · · · · · · · ·				7,500.00
f. Contractual			1,922,000.00				·				1,922,000.00
g. Construction			0.00								0.00
h. Other			30,000.00						***		30,000.00
i. Total Direct C	harges (sum of 6a-6h)		3,002,127.00		0.00		0.00		0.00		3,002,127.00
j. Indirect Charg	jes				750,532.00						750,532.00
k. TOTALS (sui	m of 6i and 6j)	\$	3,002,127.00	\$	750,532.00	\$	0.00	\$	0.00	\$	3,752,659.00
		,		* V :			•	:			
7. Program Income		\$		\$		\$		\$		\$	0.00

		SECTION	C - N	ION-FEDERAL RE	so					
(a) Grant Program				(b) Applicant		(c) State	(d) Other Sources		(e) TOTALS
8. SBDD			\$	750,532.00	\$		\$		\$	750,532.00
9.										0.00
10.										0.00
11.										0.00
12. TOTAL (sum of lines 8-11)			\$	750,532.00	\$	0.00	\$	0.00	\$	750,532.00
		SECTION	D' - F	ORECASTED CA	SH	NEEDS				
		Total for 1st Year		1st Quarter		2nd Quarter		3rd Quarter		4th Quarter
13. Federal	\$	0.00	\$		\$		\$	i	\$	
14. Non-Federal		0.00								
15. TOTAL (sum of lines 13 and 14)	\$	0.00	\$	0.00	\$	0.00	\$	0.00	\$	0.00
SECTION E - E	UDGET	ESTIMATES OF	FEDE	ERAL FUNDS NEE	DE	D FOR BALANCE	OF TI	E PROJECT	I	
(a) Grant Program			FUTURE FUNDING PERIODS (Years)							
			-	(b) First	ļ	(c) Second		(d) Third		(e) Fourth
16.SBDD	<u></u>		\$		\$	•	\$		\$	
17.										
18.				74						
19.										
20. TOTAL (sum of lines 16-19)			\$	0.00	\$	0.00	\$	0.00	\$	0.00
		SECTION F	- от	HER BUDGET INF	OR	MATION			· · · .	
21. Direct Charges: \$3,002,127			•	22. Indirect \$750,532	Ch:	arges:				
23. Remarks:										