

U.S. DEPARTMENT OF COMMERCE

Performance Progress Report

2. Award or Grant Number

06-50-M09001

4. Report Date (MM/DD/YYYY)

02-24-2014

1. Recipient Name

CA Public Utilities Commission

6. Reporting Period End Date:

03-31-2014

3. Street Address

505 Van Ness Avenue,

5. City, State, Zip Code

San Francisco, CA 94102

**7a. Project / Grant Period
Start Date: (MM/DD/YYYY)**

10-01-2009

7b. End Date: (MM/DD/YYYY)

09-30-2014

8. Designated Entity on Behalf of:

N/A

9. List the individual projects in your approved project plan

	Project Type (Data Collection, Capacity Building, Technical Assistance, etc.)	Project Name (if different from Project Type)	Total Federal Funding Amount	Total Federal Funding Amount expended at the end of this reporting period	Percent of Total Federal Funding amount expended
1	Data Collection	Data Collection, Integration, Verification, Display	3,947,461	3,266,627	83%
2	Capacity Building	N/A	757,285	357,081	47%
3	Technical Assistance	N/A	223,918	135,530	61%
4	Application Usage and Development	Wireless Application Development	2,120,852	1,200,919	57%
5	Ownership and Adoption	Computer Ownership/Native Lands	414,840	346,050	83%
6	N/A	N/A			
			\$7,464,356	\$5,306,207	71%

10. Personnel

10a. If the project is not fully staffed, describe how any lack of staffing may impact the project's timeline and when the project will be fully staffed.

N/A

10b. Staffing Table									
Job Title				FTE %	Project(s) Assigned			Change	
Assistant Information Systems Analyst				100	Data Collection			No Change	
Assistant Information Systems Analyst				100	Data Collection			No Change	
Public Utilities Regulatory Analyst V				100	Capacity Building			No Change	
Public Utilities Regulatory Analyst I				100	Technical Assistance			No Change	
Public Utilities Regulatory Analyst I				100	Capacity Building			New to Project	
Research Analyst (GIS)				100	Data Collection			No Change	
Research Analyst (GIS)				100	Data Collection			No Change	
Office Technician (Typing)				73	Capacity Building			New to Project	
Grant Administrator				20	Data Collection			No Change	
<input type="button" value="Add Row"/>				<input type="button" value="Remove Row"/>					
11. Subcontracts									
11a. Subcontracts Table - Include all subcontractors. The totals from this table equal the "Subcontracts Total" from the Program Budget Worksheet (Q. 12, Column 2, 3, and 4)									
Name	Subcontract Purpose	RFP Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated	Project and % Assigned (Example: Data Collection 75)	
California State University (CSU), Chico Research Foundation (Chico)	Data Collection	No	Yes	04/01/2010	10/01/2014	1,362,927	50,000	Data Collection	98
CSU Chico	Application Usage and	No	Yes	04/01/2010	03/31/2015	960,000	0	Application Usage an	58
CSU Chico	Ownership and Adopti	No	Yes	04/01/2010	10/01/2014	549,313	0	Ownership and Adop	86
CSU Monterey Bay	Application Usage and	No	Yes	04/18/2011	03/31/2015	940,428	0	Application Usage an	55
<input type="button" value="Add Row"/>						<input type="button" value="Remove Row"/>			
11b. Describe any challenges encountered with vendors or subrecipients.									
As per approval by Program Officer, Lynn Chadwick, the "Total Federal Funds Allocated" in 11a. Subcontracts Table, exceeds the "Subcontracts Totals" as reported in the Program Budget Worksheet (Q. 12, Column 2, 3, and 4). This is as a result of minor adjustment to contracts that did not require budget revision.									

12. Budget worksheet						
Columns 2, 3, and 4 will match your current project budget for your entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved.						
Project Budget Element	Federal Funds Awarded	Approved Matching Funds	Total Budget	Federal Funds Expended	Approved Matching Funds Expended	Total Funds Expended
Personnel Salaries	\$1,305,639	\$1,046,167	\$2,351,806	\$1,011,340	\$862,495	\$1,873,835
Personnel Fringe Benefits	\$405,414	\$373,357	\$778,771	\$330,509	\$313,307	\$643,816
Travel	\$90,599	\$0	\$90,599	\$42,976	\$0	\$42,976
Equipment	\$256,618	\$30,000	\$286,618	\$241,238	\$30,000	\$271,238
Materials / Supplies	\$1,124,051	\$33,912	\$1,157,963	\$899,658	\$32,501	\$932,159
Subcontracts Total	\$3,703,418	\$50,000	\$3,753,418	\$2,606,213	\$0	\$2,606,213
Construction	\$0	\$0	\$0	\$0	\$0	\$0
Other	\$167,964	\$0	\$167,964	\$106,607	\$78,223	\$184,830
Total Direct Costs	\$7,053,703	\$1,533,436	\$8,587,139	\$5,238,541	\$1,316,526	\$6,555,067
Total Indirect Costs	\$410,653	\$340,686	\$751,339	\$67,666	\$0	\$67,666
Total Costs	\$7,464,356	\$1,874,122	\$9,338,478	\$5,306,207	\$1,316,526	\$6,622,733
% of Total	80	20	100	80	20	100
13. Hardware / Software						
13a. List any hardware/software purchased during this reporting period. SQL Licenses, ArcGIS Licenses (annual maintenance), ID Insight and TeleAtlas Data (subscription).						
13b. Please note any hardware/software that has yet to be purchased and explain why it has not been purchased. Co-location expenses (annual maintenance), load balancer (maintenance support), PC upgrades and new servers (to accommodate processing of large data sets and for backup/fail-over), LTE smart phone and data card upgrades (to support the mobile field testing).						
14. SBI PPR Project Attachment (Skip question 14b if Data Collection is your only project).						
14a. Complete a SBI PPR Project Data Collection Attachment and attach it to the PPR.						
14b. Complete a SBI PPR Attachment for each additional funded project and attach it to the PPR.						

15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose set forth in the award documents.

15a. Typed or Printed Name and Title of Authorized Certifying Official

Robert Osborn

Senior Analyst

15c. Telephone
(area code, number, and extension)

916-327-7788

15d. Email Address

robert.osborn@cpuc.ca.gov

15b. Signature of Authorized Certifying Official

Submitted Electronically

15e. Date Report Submitted
(MM/DD/YYYY)

05-29-2014