AMENDMENT TO FINANCIAL ASSISTANCE AWARD

RECIPIENT NAME
CA Public Utilities Commission

STREET ADDRESS
505 Van Ness Avenue

CITY, STATE ZIP
San Francisco, CA 94102

CFDA NO. AND PROJECT TITLE:
11.558 American Recovery and Reinvestment Act - SBDD-CA Public Utilities Commission

<table>
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<th>COSTS ARE REVISED AS FOLLOWS:</th>
<th>PREVIOUS ESTIMATED COST</th>
<th>ADD</th>
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REASON(S) FOR AMENDMENT
This Grant is hereby amended to revised SAC 12 Performance Reports and to include SAC 14 New Federal Financial Report and SAC 15 Whistleblower Protection Act Requirements.

ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.

This Amendment approved by the Grants Officer is issued in triplicate and constitutes an obligation of Federal funding. By signing the three documents, the Recipient agrees to comply with the Amendment provisions checked below and attached, as well as previous provisions incorporated into the Award. Upon acceptance by the Recipient, two signed Amendment documents shall be returned to the Grants Officer and the third document shall be retained by the Recipient. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally terminate this Amendment.

X Special Award Conditions

Line Item Budget

Other:

SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER
Joyce Brigham

DATE 10/22/09

TYPED NAME, TYPED TITLE, AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL
Paul Clanon, Executive Director

DATE 11/5/09
Award Number: 06-50-M09001, Amendment Number 1
Federal Program Officer: Anne Neville
Requisition Number: M09001
Employer Identification Number: 943031353
Dun & Bradstreet No: 947393922
Recipient ID:
Requestor ID:
Treasury Account Symbol (TAS): 0554

Award ACCS Information

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Award Contact Information

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<tr>
<th>Contact Name</th>
<th>Contact Type</th>
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<th>Phone</th>
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<tbody>
<tr>
<td>Mr. Robert Haga</td>
<td>Administrative</td>
<td><a href="mailto:rwh@cpuc.ca.gov">rwh@cpuc.ca.gov</a></td>
<td>415 703-2538</td>
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NIST Grants Officer:
Joyce Brigham
100 Bureau Drive, MS 1650
Gaithersburg, MD 20899-1650
(301) 975 - 6329

NIST Grants Specialist:
Michael Squires
100 Bureau Drive, MS 1650
Gaithersburg, MD 20899-1650
(301) 975 - 4039
12. **Performance (Technical) Reports:**

*Deviation to the reporting requirements in the Department of Commerce (DoC) Financial Assistance Standard Term and Condition B.01, entitled “Performance (Technical) Report”*

The Recipient shall submit performance (technical) reports to the Federal Program Officer at the end of each calendar quarter or portion thereof, and a final report within 90 days of expiration date of this award. In the case of a multi-year project, an annual performance report will be required for each year of the grant award in lieu of the final report. Annual and final reports should incorporate the results and accomplishments of all research conducted during the period specified at the award period. Due dates are:

- April 30 for the calendar quarter ending March 31
- July 30 for the calendar quarter ending June 30
- October 30 for the calendar quarter ending September 30
- January 30 for the calendar quarter ending December 31


*Deviation to replace the references for the reporting requirements in the Department of Commerce (DoC) Financial Assistance Standard Term and Condition A.01, entitled “Financial Reports,” and Use of Approved OMB Form SF-425 as Authorized Under Uniform Administrative Requirements For Financial Reporting*

New Financial Reporting Form (SF-425) - Article A.01 of the Department of Commerce (DoC) Financial Assistance Standard Terms and Conditions dated March 2008 shall apply as revised below:

The references to Financial Reporting Form SF-269 in the DoC Standard Terms & Conditions are hereby replaced with the SF-425, “Federal Financial Report,” as required by OMB (73 FR 61175, October 15, 2008). As authorized under 15 CFR §§ 14.52 and 24.41, the OMB approved SF-425 shall be used in the place of the SF-269 and SF-272 under the uniform administrative requirements and elsewhere under this award where such forms are referenced.

The Recipient shall submit an SF-425 (Federal Financial Report) on a calendar quarter basis for the periods ending March 31, June 30, September 30, and December 31, or any portion thereof, unless otherwise specified in a Special Award Condition. Reports are due no later than thirty (30) calendar days following the end of each reporting period. A final SF-425 shall be submitted within ninety (90) calendar days after the expiration date of the award.
15. **Whistleblower Protection Act Requirements**

The Recipient shall comply with the Whistleblower Protection requirements of the American Recovery and Reinvestment Act (Recovery Act), Section 553 of Division A, Title XV, Public Law 111-5 which provides protection for employees of non-federal employers making specified disclosures relating to possible fraud, waste, or abuse of Recovery Act funds. The act requires any non-federal employer receiving Recovery Act funds to post a notice of the rights and remedies provided under the Act. The Recipient shall display a poster at their job sites. Recipients are reminded that the Office of Inspector General will verify the appropriate place of this poster as part of any field work conducted. Failure to display the poster may result in an audit finding. The poster can be downloaded from the following web site:

http://www.oig.doc.gov/recovery/whistleblower.html