

U.S. DEPARTMENT OF COMMERCE

Performance Progress Report

2. Award or Grant Number

42-50-M09065

4. Report Date (MM/DD/YYYY)

09-27-2012

1. Recipient Name

Executive Office of the Commonwealth of Pennsylvania

6. Reporting Period End Date:

09-30-2012

3. Street Address

Commonwealth Avenue, 207 Finance Building,

5. City, State, Zip Code

Harrisburg, PA 17120

7a. Project / Grant Period Start Date: (MM/DD/YYYY)

01-01-2010

7b. End Date: (MM/DD/YYYY)

12-31-2014

8. Designated Entity on Behalf of:

N/A

9. List the individual projects in your approved project plan

	Project Type (Data Collection, Capacity Building, Technical Assistance, etc.)	Project Name (if different from Project Type)	Total Federal Funding Amount	Total Federal Funding Amount expended at the end of this reporting period	Percent of Total Federal Funding amount expended
1	Data Collection	Pennsylvania Broadband Mapping	3,805,567	2,118,569	56
2	Technical Assistance	Statewide Broadband Technical Assistance	1,751,451	9,111	1
3	Capacity Building	State Capacity Building	1,091,283	2,777	0
4	Other	Original Planning Grant	500,000	33,198	7
5	Other	Research	208,000	37,792	18

10. Personnel

10a. If the project is not fully staffed, describe how any lack of staffing may impact the project's timeline and when the project will be fully staffed.

The project was fully staffed during Q3-2012. Overall project staffing peaked during Q3-2010 at 11.16 FTEs (paid with federal grant dollars) due to ramp up and roll out of the Technical Assistance component. Additional staffing and contracted resources will be brought on in future quarters as remaining project components are launched.

10b. Staffing Table

Job Title	FTE %	Project(s) Assigned	Change
State Broadband Director	35	Data Collection	No Change

State Broadband Director	25	Technical Assistance	No Change
State Broadband Director	30	Capacity Building	No Change
State Broadband Director	5	Original Planning Grant	No Change
State Broadband Director	5	Research	No Change
State Administrative Officer	5	Data Collection	No Change
State Administrative Officer	20	Technical Assistance	No Change
State Administrative Officer	10	Capacity Building	No Change
State Administrative Officer	5	Original Planning Grant	No Change
State Administrative Officer	10	Research	No Change

11. Subcontracts (Vendors and Subrecipients)

11a. Subcontracts Table - Include all subcontractors. The totals from this table equal the "Subcontractor Total" for the Program Budget Worksheet (Q. 12, Column 2, 3, and 4)

Name	Subcontract Purpose	Type (Vendor/Subrec)	RFP Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated	Project and % Assigned (Example: Data Collection 75)	
ROW 1 Michael Baker Jr., Inc.	Data Collection	Vendor	Yes	Yes	01/27/2010	12/31/2014	3,607,594	0	Data Collection	100
ROW 2 Pennsylvania State University	Other	Vendor	No	Yes	06/04/2010	09/21/2010	33,198	0	Original Plannin	100
ROW 3 Pennsylvania State University	Technical Assistance	Subrecipient	No	Yes	07/01/2011	12/31/2014	738,502	523,568	Technical Assis	100
ROW 4 Industrial Resource Center (IRC) Network, Inc.	Technical Assistance	Subrecipient	No	Yes	07/01/2011	12/31/2014	302,338	250,000	Technical Assis	100
ROW 5 Industrial Resource Center (IRC) Network, Inc.	Other	Subrecipient	No	Yes	07/01/2011	06/30/2013	108,000	0	Research-Man	100
ROW 6 Economic Development Council of Northeastern PA d/b/a NE PA Alliance	Technical Assistance	Subrecipient	No	Yes	09/27/2010	12/31/2014	310,611	300,000	Technical Assis	100
ROW 7 IN PROCESS Industrial Resource Center (IRC) Network, Inc.	Technical Assistance	Subrecipient	No	No	07/01/2011	12/31/2014	400,000	0	Technical Assis	100

ROW 8 TO BE DETERMINED	Other	Subrecipient	No	No	12/31/2014	12/31/2014	100,000	0	Research-Cost	100
ROW 9 TO BE DETERMINED	Other	Subrecipient	No	No	12/31/2014	12/31/2014	466,802	0	Original Plannin	100
ROW 10 TO BE DETERMINED	Capacity Building	Vendor	No	No	12/31/2014	12/31/2014	15,200	0	Map Transition	100

Add Row

Remove Row

11b. Describe any challenges encountered with vendors or subrecipients.

PROJECT CHALLENGES:

(1) Broadband Mapping-The team has experienced some challenges in obtaining the full cooperation of some broadband providers and outreach continues to improve participation levels among the provider community.

(2) State Capacity Building & Planning-Due to various factors including an administration change, agency reorganizations, personnel changes, and Public Safety broadband developments it was necessary to reevaluate and delay these components to ensure the best approach and address sustainability. Resolution is expected in Q4-2012/Q1-2013.

REPORTING NOTES:

(1) In #11a., Row 2 above, the % Assigned to this component (Original Planning Grant-Summit) is actually 4%, however, when entering the single digit "4" the form converts it to "400%". Entered "0" above and provided this explanation at the instruction of the Federal Program Officer.

(2) In #11a above, please note that Row 7 represents an amendment in process to the subcontract agreement entered in Row 4 related to administration of the implementation micro-grant assistance fund. Once this amendment is executed, the dollar amounts in Row 4 will be increased accordingly and Row 7 will be used for the next agreement executed or to be determined.

(3) In #11a above, please note that Rows 8-10 represent subcontract agreements yet to be determined.

(4) Any difference found between the figures in #12 below and the sum of the individual project budgets included in the attachments is due to rounding;

(5) Submission Delay: Due to a declaration of state emergency related to Hurricane Sandy issued by the Commonwealth and signed by the President, state offices under the Governor's jurisdiction across most of the state were impacted by office closures. Per an email communication received from NTIA on 10/30/2012, an extension was granted to states impacted by the hurricane and unable to make the deadline, allowing them to submit the Q3-2012 PPR as soon as possible, but no later than 11/07/2012.

12. Budget worksheet

Columns 2, 3, and 4 will match your current project budget for your entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved.

Project Budget Element	Federal Funds Awarded	Approved Matching Funds	Total Budget	Federal Funds Expended	Approved Matching Funds Expended	Total Funds Expended
Personnel Salaries	\$785,498	\$539,365	\$1,324,863	\$93,015	\$322,547	\$415,562
Personnel Fringe Benefits	\$308,071	\$211,540	\$519,611	\$34,257	\$59,736	\$93,993
Travel	\$0	\$0	\$0	\$0	\$416	\$416
Equipment	\$16,664	\$0	\$16,664	\$0	\$0	\$0
Materials / Supplies	\$159,823	\$0	\$159,823	\$0	\$0	\$0

Project Budget Element	Federal Funds Awarded	Approved Matching Funds	Total Budget	Federal Funds Expended	Approved Matching Funds Expended	Total Funds Expended
Subcontracts Total	\$6,082,245	\$1,000,000	\$7,082,245	\$2,071,398	\$62,360	\$2,133,758
Construction	\$0	\$0	\$0	\$0	\$0	\$0
Other	\$4,000	\$417,495	\$421,495	\$2,777	\$424,189	\$426,966
Total Direct Costs	\$7,356,301	\$2,168,400	\$9,524,701	\$2,201,447	\$869,248	\$3,070,695
Total Indirect Costs	\$0	\$0	\$0	\$0	\$0	\$0
Total Costs	\$7,356,301	\$2,168,400	\$9,524,701	\$2,201,447	\$869,248	\$3,070,695
% of Total	77	23	100	72	28	100
13. Hardware / Software						
13a. List any hardware/software purchased during this reporting period. N/A						
13b. Please note any hardware/software that has yet to be purchased and explain why it has not been purchased. Pennsylvania's project plan calls for the transition of the broadband mapping system currently hosted by the consultant to state government facilities before the end of the program. This will involve the procurement and deployment during calendar year 2014 of the required physical server hardware, memory, software, enterprise and client licensing, and maintenance services, as was described in detail in the supplemental proposal and budget narrative.						
14. SBI PPR Project Attachment (Skip question 14b if Data Collection is your only project).						
14a. Complete a SBI PPR Project Data Collection Attachment and attach it to the PPR.						
14b. Complete a SBI PPR Attachment for each additional funded project and attach it to the PPR.						
15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose set forth in the award documents.						
15a. Typed or Printed Name and Title of Authorized Certifying Official Sue Suleski				15c. Telephone (area code, number, and extension) 717-705-5462		
				15d. Email Address ssuleski@pa.gov		

15b. Signature of Authorized Certifying Official

Submitted Electronically

15e. Date Report Submitted
(MM/DD/YYYY)

12-03-2012