

QUARTERLY PERFORMANCE PROGRESS REPORT FOR BROADBAND INFRASTRUCTURE PROJECTS

General Information

1. Federal Agency and Organizational Element to Which Report is Submitted Department of Commerce, National Telecommunications and Information Administration	2. Award Identification Number NT10BIX5570145	3. DUNS Number 073124562
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4. Recipient Organization

Ute Indian Tribe 988 South 7500 East, Fort Duchesne, UT 84026

5. Current Reporting Period End Date (MM/DD/YYYY) 09-30-2011	6. Is this the last Report of the Award Period? <input type="radio"/> Yes <input checked="" type="radio"/> No
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7. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.

7a. Typed or Printed Name and Title of Certifying Official Crystal Adams	7c. Telephone (area code, number and extension) 4357225141
	7d. Email Address uitgrants@utetribes.com

7b. Signature of Certifying Official Submitted Electronically	7e. Date Report Submitted (MM/DD/YYYY): 11-28-2011
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Project Indicators (This Quarter)

1. Please describe significant project accomplishments completed during this quarter (600 words or less).

QRT 3 2011- We were able to post several requests for quotes and requests for proposals on our Ute Tribe BTOP web-site (btop.utetribes.com). We had good response and during this quarter have not only posted proposals for the main fiber loop and wireless portions of our project, we have also evaluated those proposals and began discussions with the selected contractors.

We were able to lock down the price and have received delivery of the the fiber for the entire project. Because of the fiber shortage this as a significant accomplishment.

We have also acquired a significant portion (roughly 60%) of networking equipment as well as (100%) of the wireless equipment that we will be installing during the winter months and will expect most of the network to be well underway by spring.

We have written and posted a draft for construction of our private side lateral sections (RFP 2011-250-102) as well as the Wireless install portion (RFP 2011-250-103) for our public side network.

We filled the position of network wireless manager during this quarter. He has been coming up to speed on the project and has started to interact with the CIA's that will benefit the most from the wireless access services we will be able to provide; the Uintah and Ouray Police department, the Emergency Response Units, and the Conservations officers.

2. Please provide the percent complete for the following key milestones in your project. Write "0" in the Percent Complete column and "N/A" in the Narrative column if your project does not include this activity. If you provided additional milestones in your baseline plan, please insert them at the bottom of the table. Unless otherwise indicated in the instructions, figures should be reported cumulatively from award inception to the end of the most recent reporting quarter. Please provide a narrative description if the percent complete is different from the target provided in your baseline plan (300 words or less).

	Milestone	Percent Complete	Narrative (describe reasons for any variance from baseline plan or subsequent written updates provided to your program officer)
2a.	Overall Project	30	We have made strides during the 3rd quarter. We will continue with our plan to do the digging during the 4th quarter and this will help us meet our goals. We will catch up to our baseline when the digging is completed.
2b.	Environmental Assessment	100	Completed
2c.	Network Design	90	Mostly complete
2d.	Rights of Way	100	Complete
2e.	Construction Permits and Other Approvals	100	Complete
2f.	Site Preparation	0	We will start digging in QRT 4 2011
2g.	Equipment Procurement	60	We have purchased the majority of the equipment.
2h.	Network Build (all components - owned, leased, IRU, etc)	10	We have received equipment. The majority will come in the 4th quarter of 2011
2i.	Equipment Deployment	10	Since receiving the equipment we have started the deployment and anticipate the majority to happen in the 4th quarter of 2011
2j.	Network Testing	10	Testing has been started and will be caught up in the 4th quarter of 2011
2k.	Other (please specify): N/A	100	Complete

3. To the extent not covered above, please describe any challenges or issues faced during this past quarter in achieving planned progress against the project milestones listed above. In particular, please identify any areas or issues where technical assistance from the BTOP program may be useful (600 words or less).

Everyone involved in this project is very anxious to get this project up and operational as soon as possible, because of this we submitted a very aggressive project baseline, anticipating we could finish the project in two years instead of three. However, we had not planned on it taking 2 quarters to get the EA finalized. Because of our aggressive time line we are a couple quarters behind our projections, but are moving fast in catching up. We fully expect, weather permitting to be completely back on track by spring of 2012.

4. Please report the following information regarding network build progress. Write "0" in the Total column and "N/A" in the Narrative column if your project does not include this activity. Unless otherwise indicated in the instructions, figures should be reported cumulatively from award inception to the end of the most recent reporting quarter. Please provide a narrative description if the total is different from the target provided in your baseline plan (600 words or less).

Indicator	Total	Narrative (describe your reasons for any variance from the baseline plan or any other relevant information)
New network miles deployed	0	We will be caught up to the baseline by the end of the 4th quarter 2011 or early spring. We have not currently started to dig, we expect this to begin in Q4. We originally had planned to dig a portion of the project this year and a portion next year; however, once we start to dig we will dig the entire project. This is still our plan and this will bring us up to the numbers in our time line.
New network miles leased	0	N/A
Existing network miles upgraded	0	N/A
Existing network miles leased	0	N/A
Number of miles of new fiber (aerial or underground)	0	N/A
Number of new wireless links	0	N/A
Number of new towers	0	N/A
Number of new and/or upgraded interconnection points	0	N/A

For questions 5 and 6 please include information relating to agreements that you are negotiating or have entered into, or that your sub recipient, contractor or subcontractor is negotiating or entered into.

5a. If applicable, please provide the following information with regard to agreements with broadband wholesalers and/or last mile providers as a result of your project.

Indicators	
Number of signed agreements with broadband wholesalers or last mile providers	0
Number of agreements currently being negotiated with broadband wholesalers or last mile providers	0
Average term of signed agreements (in quarters)	0

5b. Please list the names of the wholesale and last mile providers with whom you have signed agreements (100 words or less). Providers:
N/A

5c. What wholesale services are being provided by this project? Please describe below. As an attachment to this report, please provide pricing plans (in \$ per month) associated with each wholesale service provided by your product (100 words or less). Wholesale services description:
N/A

5d. If you have designated a third party to operate all or a portion of your network, please provide the name and contact information for this third party, indicate if this entity is a sub recipient, contractor, and/or subcontractor, and describe with specificity the portion of your network this this third party operates (600 words or less).
N/A

6. Please provide the data according to the type of subscriber. Write "0" in the Total column and "N/A" in the Narrative column if your project does not pass or serve a particular subscriber type. Unless otherwise indicated in the instructions, figures should be reported cumulatively from award inception to the end of the most recent reporting quarter. Please provide a narrative description if the total is different from the target provided in your baseline plan (300 words or less).

Subscriber Type	Access Type	Total	Narrative (describe your reasons for any variance from the baseline plan or any other relevant information)
Broadband Wholesalers or Last Mile Providers	Providers with signed agreements receiving new access	0	N/A

Subscriber Type	Access Type	Total	Narrative (describe your reasons for any variance from the baseline plan or any other relevant information)
	Providers with signed agreements receiving improved access	0	N/A
	Providers with signed agreements receiving access to dark fiber	0	N/A
	Please identify the speed tiers that are available and the number of subscribers for each	0	N/A
Community Anchor Institutions (including Government institutions)	Total subscribers served	0	N/A
	Subscribers receiving new access	0	We will be connecting 9 CIA in this next quarter, maybe more.
	Subscribers receiving improved access	0	N/A
	Please identify the speed tiers that are available and the number or subscribers for each	0	100 MB Fiber=0 New subscribers and 10 MB Wireless=0 new subscribers
Residential / Households	Entities passed	0	We are expecting to have the wireless network build complete during this next quarter, and then we will start to connect households. We should get some during the 4th quarter but we expect the majority of them in the spring time.
	Total subscribers served	0	N/A
	Subscribers receiving new access	0	N/A
	Subscribers receiving improved access	0	N/A
	Please identify the speed tiers that are available and the number of subscribers for each	0	N/A
Businesses	Entities passed	0	Most of the business are located in what will be the last leg of our fiber, so we are not expecting to bring them on board until spring 2012.
	Total subscribers served	0	N/A
	Subscribers receiving new access	0	N/A
	Subscribers receiving improved access	0	N/A
	Please identify the speed tiers that are available and the number of subscribers for each	0	N/A

7. Please describe any special offerings you may provide (600 words or less).
 We will be providing 100 MBytes fiber data connection to all Community Anchor Institutes and 10 MBytes 802.11 wireless connections to households.

8a. Have your network management practices changed over the last quarter? Yes No

8b. If so, please describe the changes (300 words or less).
 N/A

9. Community Anchor Institutions:
 Using the table below, please provide a list by service area of the community anchor institutions (including Government institutions) connected to your network as a result of BTOP funds. Figures should be reported for the most recent reporting quarter only (NOT cumulatively). Also indicate whether your organization is currently providing broadband service to the anchor institution. Finally, provide a

short narrative description with examples of how institutions are using BTOP-funded infrastructure (300 words or less).

Institution Name	Service Area (town or county)	Type of Anchor Institution (as defined in your baseline)	Are you also the broadband service provider for this institution? (Yes / No)	Narrative description of how anchor institutions are using BTOP-funded infrastructure
N/A	N/A	N/A	N/A	N/A

Project Indicators (Next Quarter)

1. Please describe significant project accomplishments planned for completion during the next quarter (600 words or less).

The projects RFP for the fiber is completed and a contractor has been selected. We will be signing a contract during the 4Q on the fiber RFP and the Wireless RFP. We have ground breaking for fiber scheduled in Q4 and wireless construction will also begin.

2. Please provide the percent complete for the following key milestones in your project. Write "0" in the Planned Percent Complete column and "N/A" in the Narrative column if your project does not include this activity. If you provided additional milestones in your baseline plan, please insert them at the bottom of the table. Unless otherwise indicated in the instructions, figures should be reported cumulatively from award inception to the end of the next reporting quarter. Please provide a narrative description if the percent complete is different from the target provided in your baseline plan (300 words or less).

	Milestone	Planned Percent Complete	Narrative (describe reasons for any variance from baseline plan or any other relevant information)
2a.	Overall Project	44	We are reducing are projections to 44% because we think it more accurately reflect were we will be by the end of next quarter.
2b.	Environmental Assessment	100	Complete
2c.	Network Design	90	Mostly Complete
2d.	Rights of Way	100	Complete
2e.	Construction Permits and Other Approvals	100	Complete
2f.	Site Preparation	30	Weather permitting we will meet this projection
2g.	Equipment Procurement	65	We have purchased a good portion of our equipment and will configure it and install it so that we can light up the fiber when it is ready.
2h.	Network Build (all components - owned, leased, IRU, etc.)	30	Construction will be started, and we hope to get a section of fiber done before we can not dig due to weather. We do not anticipate that we will get it all in, but are hoping on completing the majority of the main fiber run.
2i.	Equipment Deployment	30	Equipment will configured and deployed and this goal should easily be met.
2j.	Network Testing	30	Pretesting will be complete and possibly some of the main loop power meter and OCR testing will be completed.
2k.	Other (please specify): N/A	100	The funds in this category will be expended

3. Please describe any challenges or issues anticipated during the next quarter that may impact planned progress against the project milestones listed above. In particular, please identify any areas or issues where technical assistance from the BTOP program may be useful (600 words or less).

We have the contractors selected for the fiber and the wireless portion of the project We feel that the project will move forward and the only obstacle that may come up is the weather. Utah has extreme weather, and Fort Duchesne is know to have some of the coldest weather in Utah, depending on the year this may keep us from catching up, but will not, over the long run, put this project in any significant jeopardy.

Infrastructure Budget Execution Details

Activity Based Expenditures (Infrastructure)

1. Please provide details below on your total budget, cumulative actual expenditures (for the period ending the current quarter), and cumulative anticipated expenditures (for the period ending next quarter) for each line item, including detailed disbursements of both matching funds and federal funds from project inception through end of this quarter (actual) or next quarter (anticipated). Actual and anticipated figures should be reported cumulatively from award inception to the end of the applicable reporting quarter.

Budget for Entire Project				Actuals from Project Inception through End of Current Reporting Period			Anticipated Actuals from Project Inception through End of Next Reporting Period		
Cost Classification	Total Cost (plan)	Matching Funds (plan)	Federal Funds (plan)	Total Cost	Matching Funds	Federal Funds	Total Costs	Matching Funds	Federal Funds
a. Administrative and legal expenses	\$740,184	\$228,352	\$511,832	\$226,912	\$70,004	\$156,908	\$303,515	\$93,637	\$209,879
b. Land, structures, right-of-ways, appraisals, etc.	\$38,180	\$11,779	\$26,401	\$0	\$0	\$0	\$19,709	\$6,080	\$13,629
c. Relocation expenses and payments	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
d. Architectural and engineering fees	\$35,000	\$10,798	\$24,202	\$24,194	\$7,464	\$16,730	\$26,817	\$8,273	\$18,544
e. Other architectural and engineering fees	\$29,500	\$9,101	\$20,399	\$12,452	\$3,842	\$8,610	\$15,228	\$4,698	\$10,530
f. Project inspection fees	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
g. Site work	\$605,418	\$186,776	\$418,642	\$137,153	\$42,313	\$94,841	\$146,866	\$45,309	\$101,557
h. Demolition and removal	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
i. Construction	\$328,560	\$101,363	\$227,197	\$0	\$0	\$0	\$134,727	\$41,564	\$93,163
j. Equipment	\$167,229	\$51,591	\$115,638	\$105,744	\$32,622	\$73,120	\$152,974	\$47,194	\$105,780
k. Miscellaneous	\$106,950	\$32,995	\$73,955	\$100,300	\$30,943	\$69,357	\$100,300	\$30,943	\$69,357
l. SUBTOTAL (add a through k)	\$2,051,021	\$632,755	\$1,418,266	\$606,755	\$187,188	\$419,566	\$900,136	\$277,698	\$622,439
m. Contingencies	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
n. TOTALS (sum of l and m)	\$2,051,021	\$632,755	\$1,418,266	\$606,755	\$187,188	\$419,566	\$900,136	\$277,698	\$622,439

2. Program Income: Please provide the program income you listed in your application budget and actuals to date through the end of the reporting period.

a. Application Budget Program Income: \$0	b. Program Income to Date: \$0
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