

U.S. DEPARTMENT OF COMMERCE

Performance Progress Report

2. Award or Grant Number

06-50-M09001

4. Report Date (MM/DD/YYYY)

03-14-2013

1. Recipient Name

CA Public Utilities Commission

6. Reporting Period End Date:

03-31-2013

3. Street Address

505 Van Ness Avenue,

5. City, State, Zip Code

San Francisco, CA 94102

7a. Project / Grant Period Start Date: (MM/DD/YYYY)

10-01-2009

7b. End Date: (MM/DD/YYYY)

09-30-2014

8. Designated Entity on Behalf of:

N/A

9. List the individual projects in your approved project plan

	Project Type (Data Collection, Capacity Building, Technical Assistance, etc.)	Project Name (if different from Project Type)	Total Federal Funding Amount	Total Federal Funding Amount expended at the end of this reporting period	Percent of Total Federal Funding amount expended
1	Data Collection	Data Collection, Integration, Verification, Display	4,816,271	2,560,030	53%
2	Capacity Building	N/A	914,933	94,904	10%
3	Technical Assistance	N/A	299,038	56,115	19%
4	Application Usage and Development	Wireless Application Development	1,539,062	679,374	44%
5	Ownership and Adoption	Computer Ownership/Native Lands	412,000	242,130	59%
6	N/A	N/A	0	0	
			\$7,981,304	\$3,632,553	46%

10. Personnel

10a. If the project is not fully staffed, describe how any lack of staffing may impact the project's timeline and when the project will be fully staffed.

N/A

10b. Staffing Table									
Job Title				FTE %	Project(s) Assigned			Change	
Assistant Information Systems Analyst				100	Data Collection			No Change	
Assistant Information Systems Analyst				77	Data Collection			New to Project	
Public Utilities Analyst V				100	Capacity Building			No Change	
Public Utilities Analyst I				100	Technical Assistance			No Change	
Research Analyst I (GIS)				100	Data Collection			No Change	
Research Analyst I (GIS)				100	Data Collection			No Change	
Public Utilities Analyst I				63	Data Collection			New to Project	
Office Technician (Typing)				65	Data Collection			New to Project	
Grant Administrator				25	Data Collection			No Change	
<input type="button" value="Add Row"/>				<input type="button" value="Remove Row"/>					
11. Subcontracts									
11a. Subcontracts Table - Include all subcontractors. The totals from this table equal the "Subcontracts Total" from the Program Budget Worksheet (Q. 12, Column 2, 3, and 4)									
Name	Subcontract Purpose	RFP Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated	Project and % Assigned (Example: Data Collection 75)	
California State University (CSU), Chico Research Foundation (Chico)	Data Collection	No	Yes	04/01/2010	10/01/2014	1,362,927	50,000	Data Collection	56
CSU Chico	Application Usage and	No	Yes	04/01/2010	10/01/2014	500,000	0	Application Usage	21
CSU Chico	Ownership and Adopti	No	Yes	04/01/2010	10/01/2014	549,313	0	Ownership and Adop	23
CSU Monterey Bay	Application Usage and	No	Yes	07/01/2011	10/01/2014	674,273	0	Application Developm	100
<input type="button" value="Add Row"/>						<input type="button" value="Remove Row"/>			
11b. Describe any challenges encountered with vendors or subrecipients.									
N/A									

12. Budget worksheet						
Columns 2, 3, and 4 will match your current project budget for your entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved.						
Project Budget Element	Federal Funds Awarded	Approved Matching Funds	Total Budget	Federal Funds Expended	Approved Matching Funds Expended	Total Funds Expended
Personnel Salaries	\$2,009,215	\$1,076,831	\$3,086,046	\$562,425	\$599,791	\$1,162,216
Personnel Fringe Benefits	\$572,770	\$352,506	\$925,276	\$168,590	\$208,194	\$376,784
Travel	\$190,910	\$0	\$190,910	\$30,755	\$0	\$30,755
Equipment	\$1,757,819	\$62,501	\$1,820,320	\$1,005,119	\$62,501	\$1,067,620
Materials / Supplies	\$9,656	\$0	\$9,656	\$630	\$0	\$630
Subcontracts Total	\$3,086,513	\$50,000	\$3,136,513	\$1,796,468	\$0	\$1,796,468
Construction	\$0	\$0	\$0	\$0	\$0	\$0
Other	\$190,220	\$461,212	\$651,432	\$900	\$78,223	\$79,123
Total Direct Costs	\$7,817,103	\$2,003,050	\$9,820,153	\$3,564,887	\$948,709	\$4,513,596
Total Indirect Costs	\$164,201	\$0	\$164,201	\$67,666	\$0	\$67,666
Total Costs	\$7,981,304	\$2,003,050	\$9,984,354	\$3,632,553	\$948,709	\$4,581,262
% of Total	80	20	100	79	21	100
13. Hardware / Software						
13a. List any hardware/software purchased during this reporting period.						
Amazon Web Hosting, support (15%), PowerEdge T610 Maintenance Renewal, Barracuda Load Balancer, HP Notebook, Dell PowerVault MD1200 high-capacity storage, Dell R 720 Server replacement.						
13b. Please note any hardware/software that has yet to be purchased and explain why it has not been purchased.						
Mobile field testing: Upgrades on smartphones and USB modems used for mobile field testing, monthly data charges, netbook upgrade; Data Collection: annual software license maintenance, Validation data set subscription fees, annual hardware support and maintenance, USGS land use data set for wireless propagation validation.						
14. SBI PPR Project Attachment (Skip question 14b if Data Collection is your only project).						
14a. Complete a SBI PPR Project Data Collection Attachment and attach it to the PPR.						
14b. Complete a SBI PPR Attachment for each additional funded project and attach it to the PPR.						

15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose set forth in the award documents.

15a. Typed or Printed Name and Title of Authorized Certifying Official

Robert Osborn

Senior Analyst

15c. Telephone
(area code, number, and extension)

916-327-7788

15d. Email Address

robert.osborn@cpuc.ca.gov

15b. Signature of Authorized Certifying Official

Submitted Electronically

15e. Date Report Submitted
(MM/DD/YYYY)

04-26-2013